2Eb 0 \$ 500¢ PTO-1449 REPRODUCED ATTORNEY DOCKET NO. APPLICATION NO. 2825.1018-010 INFORMATION DISCLOSURE CITATIO IN AN APPLACATION APPLICANT Todd R. Golub, et al. February 12, 200 FILING DATE GROUP (Use several sheets if necessa February 12, 2002 SEP 0 9 2004 v.s. PATENT DOCUMENTS NUMBER EXAM-SUB-FILING DATE INER DOCUMENT DATE NAME CLASS CLASS TF INI-APPROPRIATE TIAL 5,040,133 08/13/91 Feintuch et al. 364 581 AВ 5,179,643 01/12/93 Homma et al. 395 140 AC 5,631,734 05/20/97 Stern et al. 356 317 AD 5,734,796 03/31/98 Pao 395 22 ΑĖ 5,770,722 06/23/98 Lockhart et al. 536 25.3 AF 5,819,245 10/06/98 Peterson et al. 706 16 5,832,182 11/03/98 Zhang et al. 395 10 AΗ 5,871,697 02/16/99 Rothberg et al. 422 68.1 ΑI 5,925,525 07/20/99 Fodor et al. 435 6 AB OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Borrow, Julian, et al., "The t(7;11)(p15;p15) translocation in acute AR myeloid leukaemia fuses the genes for nucleoporin NUP98 and class I homeoprotein HOXA9," Nature Genetics., 12(2): 159-167 (1996). Buccheri, Valeria, et al., "mb-1: A New Marker for B-Lineage Lymphoblastic Leukemia, " Blood 82(3): 853-857 (1993). AT Chu, S., et al., "The Transcriptional Program of Sporulation in Budding Yeast," Science 282: 699-705 (1998). ΑU Cole, Kristina A., et al., "The genetics of cancer-a 3D model," Nature Genetics 21 38-41 (1999). Eisen, Michael, B., et al., "Cluster analysis and display of genomewide expression patterns," Proc. Natl. Acad. Sci. 95: 14863-14868 (1998).ΔW Ermolaeva, Olga, et al., "Data management and analysis for gene expression arrays," Nature Genetics 20: 19-23 (1998). AΧ Huang, Shang-Yi, et al., "Clinical, haematological and molecular studies in patients with chromosome translocation t(7;11): a study of four chinese patients in Taiwan," British Journal of Haematology, 96: 682-687 (1997). Iyer, Vishwanath R., et al., "The Transcriptional Program in the Response of Human Fibroblasts to Serum, " Science 283: 83-87 (1999). EXAMINER DATE CONSIDERED

DOC ID NO .: :: ODMA \ MHODMA \ Manac

PTO-1449 REPRODUCED

SEP 0 g 2004 22

ATTORNEY DOCKET NO. 2825.1018-010

APPLICATION NO.

INFORMATION DISCLOSURE CITATIONS IN AN APPLICATION

APPLICANT
Todd R. Golub, et al.

& JOANEWY.		rodd R. Gordb, et al.		
February 12, 2002		FILING DATE	GROUP	
(Us	se several sheets if necessary)	February 12, 2002		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
AZ	Khan, Javed, et al., "Expression profiling in cancer using cDNA microarrays," <i>Electrophoresis</i> 20: 223-229 (1999).			
AR2	Khan, Javed, et al., "Gene Expression Profiling of Alveolar Rhabdomyosarcoma with cDNA Microarrays," Cancer Research 58: 5009-5013 (1998).			
AS2	Kononen, Juha, et al., "Tissue microarrays for high-throughput molecular profiling of tumor specimens," Nature Medicine 4: 844-847 (1998).			
AT2	Kroon, Evert, et al., "Hoxa9 transforms primary bone marrow cells through specific collaboration with Meisla but not Pbx1b," The EMBO Journal 17(13) 3714-3725 (1998).			
AU2	Lander, Eric S., "The New Genomics: Global Views of Biology," Science 274: 536-539 (1996).			
AV2	Nakamura, Takuro, et al., "Fusion of the nucleoporin gene NUP98 to HoXA9 by the chromosome translocation t(7;11)(p15;p15) in human myeloid leukaemia" Nature Genetics 12: 154-158 (1996).			
AW2	Spellman, Paul T., et al., "Comprehensive Identification of Cell Cycle-regulated Genes of the Yeast Saccharomyces cerevisiae by Microarray Hybridization," Molecular Biology of the Cell 9(12) 3273-3297 (1998).			
AX2	Tamayo, Pablo, et al., "Interpreting patterns of gene expression with self-organizing maps: Methods and appliation to hematopoietic differentiation," Proc. Natl. Acad. Sci. 96: 2907-2912 (1999).			
AY2	Tavazoie, Saeed, et al., "Systematic determination of genetic network architecture," Nature Genetics 22: 281-285 (1999).			
AZ2	Törönen, Petri, et al., "Analysis of gene expression data using self-organizing maps," FEBS Letters 451: 142-146 (1999).			
AR3	Watson, Andrew, et al., "Technology for microarray analysis of gene expression," Biotechnology 9: 609-614 (1998).			
AS3	Yang. George P., et al., "Combining SSH and cDNA microarrays for rapid identification of differentially expressed genes," Nucleic Acids Research 27(6): 1517-1523 (1999).			
AT3	"Affymetrix Launches New Genome Scanning Genechip® Expression Products," [online], September 1998 [retrieved on 1998-10-14]. Retrieved from the Internet: <url: http:="" pr980918.html<="" press="" td="" www.affymetrix.com=""></url:>			

EXAMINER

DATE CONSIDERED

PTO-1449 REPRODUCED

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

ATTORNEY DOCKET NO. 2825.1018-010

APPLICATION NO.

APPLICANT

| APPLICANT | Todd R. Golub, et al

		TO ADEA ART	Todd R. Golub, et al.	
February 12, 2002		February 12, 2002	FILING DATE GROUP	
(Use several sheets if necessary) February 12, 2002				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	AU3	Stipp, D., "Gene Chip Breakthrough," [online], March 1997 [retrieved 1998-10-15]. Retrieved from the Internet: <url: 1997="" 970331="" @@njc11cgca1qliojs3="" bio.html<="" fortune="" http:="" td="" www.pathfinder.com=""></url:>		
	AV3	Zheng, P. et al., "Proto-oncogene PML controls genes devoted to MHC class I antigen presentation," Nature 396:373-376, (11/26/98).		
	EWA	Lockhart, D.J. et al., "Expression monitoring by hybridization to high-density oligonucleotide arrays," Nature Biotechnology, 14:1675-1680, (December 1998).		
	AX3	DeRisi, J.L. et al., "Exploring the Metabolic and Genetic Control of Gene Expression on a Genomic Scale," Science, 278:680-686, (October 1997).		
	AY3	Lashkari, D.A. et al., "Yeast microarrays for genome wide parallel genetic and gene expression analysis," Proc. Natl. Acad. Sci. USA, 94:13057-13062 (November 1997).		
	AZ3	Miyata, Y. et al., "Phosphorylation of the immunosuppressant FK506-binding protein FKBP52 by casein kinase II: Regulation of HSP90-binding activity of FKBP52," Proc. Natl. Acad. Sci. USA, 94:14500-14505, (December 1997).		
	AR4	Kok, K. et al., "A gene in the chromosomal region 3p21 with greatly reduced expression in lung cancer is similar to the gene for ubiquitin-activating enzyme," Proc. Natl. Acad. Sci. USA, 90:6071-6075 (July 1993).		
	AS4	Wodicka, L. et al., "Genome-wide expression monitoring in Saccoarmyces cerevisiae," Nature Biotechnology, 15:1359-1367 (December 1997).		
	AT4	Jin, Y. et al., "Molecular cloning of a 25-kDa high affinity rapamycin binding protein, FKBP25, J.Bio.Chem., 267(16):10942-10945, (1992).		
	AU4	Pennisi, E., "DNA Chips Give New View of Classic Test," Science, 283:17-18, January 1999.		
	AV4	Jobson, J.D., "Cluster Analysis" in Applied Multivariate Data Analysis, Volume II: Categorical and Multivariate Methods, (NY:Springer-Verlag) pp. 518-568 (1992).		
,	AW4	Gordon, A.D., in ClassificationMethods for the Exploratory Analysis of Multivariate Data, (NY:Chapman and Hall) pp. 1-53.		
	AX4	Kohonen, T., Self-Organizing Maps, 2nd Edition, T.S. Huang et al., eds. (NY: Springer-Verlag, 1997).		
EXAM	IINER		DATE CONSIDERED	

Sheet 4 of 5 PTO-1449 REPRODUCED ATTORNEY DOCKET NO. APPLICATION NO. 2825.1018-010 INFORMATION DISCLOSURE CITATION IN AN APPLICATION TRANS APPLICANT Todd R. Golub, et al. February 12, 2002 FILING DATE GROUP February 12, 2002 (Use several sheets if necessary) OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Loos, H.S. and B. Fritzke, "DemoGNG (Version 1.5), [online] [Retrieved 1999-01-29]. Retrieved from the Internet:<URL: http://www. neuroinformatik.ruhr-uni-bochum.de/ini/VDM/research/gns/DemoGNG/GNG. html Kaski, S. et al., "Bibliography of Self-Organizing Map (SOM) Papers: 1981-1997, "[online] September 1998 [retrieved on 1999-03-05]. Retrieved from the Internet:<URL: http://www.icsi.berkeley.edu/~jagota/NCS/VOL1/P4 html/ vol1 4.html AR5 Cho, R. et al., "A genome-wide transcriptional analysis of the mitotic cell cycle, " Molecular Cell, 2:65-73 (July 1998). de Thé, H. et al., "The PML-RAR Fusion mRNA Generated by the t(15;17) Translocation in Acute Promyelocytic Leukemia Encodes a Functionally Altered RAR, Cell, 66:675-684 (August 1991). Hartigan, J., "Clustering" in Clustering Algorithms, (NY:J. Wiley, 1975) pp. 1-27, 155-176. Bamdad, C., "Surface Plasmon Resonance for Measurements of Biological Interest" in Current Protocols in Molecular Biology, (John Wiley & Sons, Inc.) pp. 20.4.1-20.4.12 (1997) Eisen, M.B. et al., "Cluster analysis and display of genome-wide expression patterns," Proc. Natl. Acad. Sci. USA, 95:14863-14868 (December 1998). Jain, A.K. and R.C. Dubes, Algorithms for Clustering Data, (Prentice-Hall), pp. 1-27, 118-142, 262-274 (1988). Kakizuka, A. et al., "Chromosomal translocation t(15;17) in human acute promyelocytic leukemia fuses RAR with a novel putative transcription factor, PML, " Cell, 66:663-674 (August 1991). Höhfeld, J. et al., "Hip, a Novel Cochaperone Involved in the Eukaryotic Hsc70/Hsp40 Reaction Cycle, "Cell, 83:589-598 (November 1995). AZ5 Yoshida, H. et al., "Accelerated Degradation of PML-Retinoic Acid (PML-RARA) oncoprotein by All-trans-Retinoic Acid in Acute Promyelocytic Leukemia: Possible Role of Proteasome Pathway, " Cancer Res., 56:2945-2948 (July 1996). Höhfeld, J. and S. Jentsch, "GrpE-like regulation of the hsc70 chaperone by the anti-apoptotic protein BAG-1, EMBO Journal, 16(20):6209-6216 (1997).

EXAMINER .

(1991).

DATE CONSIDERED

Russell, L. and D. Forsdyke, "A Human Putative Lymphocyte GO/G1 Switch Gene Containing a CpG-rich Island Encodes a Small Basic Protein with the Potential to Be Phosphorylated, "DNA AND CELL BIOL., 10(8):581-591,

Sheet 5 of 5 PTO-1449 REPRODUCED ATTORNEY DOCKET NO. APPLICATION NO. 2825..1018-010 INFORMATION DISCLOSURE CITATION APPLICANT IN AN APPLICATION TRANS Todd R. Golub, et al. February 12, 2002 FILING DATE GROUP February 12, 2002 (Use several sheets if necessary) OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) AT6 Oliva, M. et al., "Promoter regulation of a differentially expressed gene in the human colonic epithelial cell lines HT29-18 and HT29-18-C1, Gene 159(1):151-157 (1995). AU6 Beck, S. et al., "DNA Sequence Analysis of 66 kb of the Human MHC Class II Region Encoding a Cluster of Genes for Antigen Processing," J. Mol. Biol. 228:433-441 (November 1992). AV6 "GeneChip Probe Array Synthesis," [online] March 1998 [retrieved on 1998-10-15]. Retrieved from the Internet:<URL: http://www.affymetrix.com/technology/ synthesis.html Chu, S. et al., "The Transcriptional Program of Sporulation in Budding Yeast," Science, 282, 10/23/98 (pp. 699-705). AW6 AX6 Kalocsai, P., et al., "Visualization and analysis of Gene Expression Data," Journal of the Association for Laboratory Automation 4(5): 58-61 (1999).Miyakis, et al., "Differential Expression and Mutation of the ras Family Genes in Human Breast Cancer," Biochemical and Biophysical Research Comm., 251: 609-612 (1998). AZ6 Shiosaka, T. and Tanaka, Y., "Expression of Selected Genes and Oncogenes in Differentiated HL-60 Cells and Primary Cells from Human Leukemias," Anticancer Research, 9:1249-1264 (1989). AR7 Ben-Dor, A., et al., "Tissue Classification with Gene Expression Profiles," Journal of Computational Biology, 7(3/4) 559-583 (2000). AS7 Xiong, M., et al., "Computational Methods for Gene Expression-Based Tumor Classification, "Biotechniques, 29: 1264-1270 (2000). Dougherty, E., "Small sample issues for microarray-based classification," Comparative and Functional Genomics, 2: 28-34 (2001). Park, et al., "A Nonparametric Scoring Algorithm for Identifying Informative Genes From Microarray Data," Pacific Symposium on Biocomputing, pp. 52-63 (2001). AV7 Watson, M. A., et al., "Gene Expression Profiling with Oligonucleotide Microarrays Distinguishes World Health Organization grade of Oligodendrogliomas¹, " Cancer Research, 61: 1825-1829 (2001).

EXAMINER

DATE CONSIDERED